



**Office of Sponsored Programs  
(OSP)  
Significant Financial Interest Disclosure Form**

Faculty/Staff Name \_\_\_\_\_  
Department/Unit \_\_\_\_\_  
Proposal Submitted to \_\_\_\_\_

I am disclosing the following significant financial interests (check one) and attaching supporting documentation that identifies the business enterprise or entity involved and the nature and amount of the interest:

(“Significant financial interests” are defined as interests valued at greater than \$10,000 or an equity or ownership interest of more than five percent held by an Investigator and the Investigator’s spouse or dependent children.)

- Salary or other payment for services (e.g. consulting fees or honoraria).
- Equity interests (e.g., stocks, stock options, or other ownership interests).
- Intellectual property rights (e.g., patents, copyrights, and royalties from such rights).
- Other significant financial interest of the Investigator that could possibly affect or be perceived to affect the results of the NSF/PHS funded research or educational activities.

Further I agree:

- To update this disclosure during the period of the award, either on an annual basis, or as new reportable significant financial interests are obtained.
- To cooperate in the development of a Memorandum of Understanding (MOU) that constitutes a conflict of interest “resolution plan”.
- To comply with any conditions or restriction imposed by the University to manage, reduce, or eliminate conflicts of interest or forfeit the award.

Signed: \_\_\_\_\_

Date: \_\_\_\_\_

I have reviewed the significant financial interest disclosure and believe that it will be possible to develop and execute, prior to the expenditure of any funds under the award, a MOU to manage, reduce or eliminate any conflict of interest; and, therefore, I recommend that the proposal be submitted to the agency at this time.

Provost \_\_\_\_\_ Date: \_\_\_\_\_

Director, OSP \_\_\_\_\_ Date: \_\_\_\_\_

VP Finance and Administration \_\_\_\_\_ Date: \_\_\_\_\_

President \_\_\_\_\_ Date: \_\_\_\_\_